

The ethical concerns of scientific discoveries utilizing population biobanks.

Dr. Med., Björn R. Lúðvíksson, MD. Ph.D.
Professor of Immunology
Head of department of Immunology
Landspítali – University hospital.
Reykjavík, Iceland.

Ethics of Synthetic Biology

Presidential commission 12.2010

Identified five ethical principles

- **I. Public beneficence**
 - Maximize public benefits and minimize public harm
- **II. Responsible stewardship**
 - Demonstrate concern for those who are not in a position to represent themselves
 - Think and act collectively for the betterment of all
 - Demonstrate concern for the environment in which future generations will flourish or suffer
- **III. Intellectual freedom and responsibility**
- **IV. Democratic deliberation**
 - Collaborative decision making that embraces respectful debate of opposing views
 - Inclusive process of deliberation, informed by relevant facts and sensitive to ethical concerns
 - Encourages the adoption for a societal perspective over individual interests
- **V. Justice and fairness**
 - The distribution of benefits and burdens across society
 - Promote wide availability of information and fairly distribute the burdens and benefits of new technologies

Population biobanks

- Growing wealth of major scientific discoveries that have been made through the utilization of population biobanks
- Various ethical dilemmas
 - Individual validation
 - Consent
 - Risk of overstating the prospects of genetic discoveries for population screening, diseases diagnosis and therapy

New genetic discoveries

- **Epilepsy gene LGI2**
 - Insights into the mechanisms underlying neurological development in the adolescent brain
- **Amyotrophic lateral sclerosis (ALS) - Lou Gehrig's disease**
 - Mutation on the SIGMAR1
- **Nature 2012.**
 - 29 new genes that underlie the development of MS
 - Resources from twenty-three research groups from 15 countries
 - Double the number of genes implicated in the onset and progression of MS
- **March 2012**
 - International team of scientists
 - Analyzed the genetic profiles of over 80,000 people, making it the largest screen for heart-disease related genes ever conducted (around ten times larger, to be exact).
 - The study confirmed 10 of 12 previously reported heart-disease-related genes, and identified 13 new ones
- **The 1000 Genomes Project**
 - International team of scientists led by researchers at The Sanger Institute
 - Massive genetic screen (eventually involving over 50,000 people) to identify a third genetic link: MCF2L.
- **Cardiff University's**
 - Recently led the world's largest-ever genetic investigation of Alzheimer's, screening around 20,000 people with the disease and 40,000 unaffected individuals
 - Identified five new Alzheimer's-linked genes, doubling the total number of genes known to increase the risk of developing Alzheimer's.

Clinical use of genetic testing

■ Key components

- DNA in establishing identity
- DNA in unveiling the past
- DNA in predicting the future
- Manipulating DNA
- Ownership and control of DNA
- Deep cultural changes

■ Genetic testing

- ✓ Prenatal diagnosis
- ✓ Newborn testing
- ✓ Carrier testing
- ✓ Forensic DNA testing
- ✓ Direct-to-consumer tests.

Icelandic databases

- deCode genetics – Amgen
 - Genotypic and medical data from more than 140,000 volunteer participants
- Hjartavernd
- Krabbameins skrá
- Lífssýnasafn LSH

deCode

New genetic discoveries – 48 publications last 5 years

2012

- [Kong A et al.](#)
Rate of de novo mutations and the importance of father's age to disease risk. Nature. 2012 Aug 23;488(7412):471-5. doi: 10.1038/nature11396.
- [Jonsson T et al.](#)
DA mutation in APP protects against Alzheimers disease and age-related cognitive decline. Nature. 2012 Jul 11. doi: 10.1038/nature11283. [Epub ahead of print]
- [Gudmundsson J et al.](#)
Discovery of common variants associated with low TSH levels and thyroid cancer risk [Epub ahead of print]

2011

- [Sulem P et al.](#)
Identification of low-frequency variants associated with gout and serum uric acid levels. [Epub ahead of print]
- [Rafnar T et al.](#)
Mutations in BRIP1 confer high risk of ovarian cancer. [Epub ahead of print]
- [Stacy S et al.](#)
A germline variant in the TP53 polyadenylation signal confers cancer susceptibility. [Epub ahead of print]
- [Holm H et al.](#)
A rare variant in MYH6 is associated with high risk of sick sinus syndrome. [Epub ahead of print]

2010

- [Gudmundsson J et al. \(PDF Version\).](#)
Correction of PSA values with sequence variants associating with PSA levels. Science Translational Medicine 2010 Dec 15. [Epub ahead of print]
- [Kong A et al.](#)
Fine-scale recombination rate differences between sexes, populations and individuals. Nature 2010 Okt 28. [Epub ahead of print]
- [Thorleifsson G et al.](#)
Common variants near CAV1 and CAV2 are associated with primary open-angle glaucoma. Nat Genet. 2010 Sep 12. [Epub ahead of print]
- [Gudbjartsson DF et al.](#)
Association of variants at UMOD with chronic kidney disease and kidney stones-role of age and comorbid diseases. PLoS Genet. 2010 Jul 29;6(7):e1001039.
- [Stacy SN et al.](#)
Ancestry-shift refinement mapping of the C6orf97-ESR1 breast cancer susceptibility locus. PLoS Genet. 2010 Jul 22;6(7):e1001029.
- [Gretarsdottir S et al.](#)
Genome-wide association study identifies a sequence variant within the DAB2IP gene conferring susceptibility to abdominal aortic aneurysm. Nat Genet. 2010 Aug;42(8):692-7. Epub 2010 Jul 11.
- [Thorgeirsson TE et al.](#)
Sequence variants at CHRN3-CHRNA6 and CYP2A6 affect smoking behavior. Nat Genet. 2010 Apr 25. [Epub ahead of print]
- [Klemenev LA et al.](#)
A sequence variant at 4p16.3 confers susceptibility to urinary bladder cancer. Nat Genet. 2010 Mar 28. [Epub ahead of print]
- [Holm H et al.](#)
Several common variants modulate heart rate, PR interval and QRS duration. Nat Genet. 2010 Jan 10. [Epub ahead of print]

2009

- [Gudmundsson J et al.](#)
Genome-wide association and replication studies identify four variants associated with prostate cancer susceptibility. Nat Genet. 2009 Sep 20. [Epub ahead of print]
- [Kong A et al.](#)
Parental origin of sequence variants associated with complex diseases. Nature. 2009 Dec 17;462(7275):868-74.
- [Gudbjartsson DF et al.](#)
A sequence variant in ZFX3 on 16q22 associates with atrial fibrillation and ischemic stroke. Nat Genet. 2009 Jul 13. [Epub ahead of print]
- [Stacey SN et al.](#)
New common variants affecting susceptibility to basal cell carcinoma. Nature. 2009 Jul 5. [Epub ahead of print]
- [Stefansson H et al.](#)
Common variants conferring risk of schizophrenia. Nature. 2009 Jul 1. [Epub ahead of print]
- [Thorleifsson G et al.](#)
Sequence variants in the CLDN14 gene associate with kidney stones and bone mineral density. Nat Genet. 2009 June 28. [Epub ahead of print]
- [Sulem P et al.](#)
Genome-wide association study identifies sequence variants on 6q21 associated with age at menarche. Nat Genet. 2009 May 17. [Epub ahead of print]
- [Gudbjartsson DF et al.](#)
Sequence variants affecting eosinophil numbers associate with asthma and myocardial infarction. Nat Genet. 2009 Feb 8. [Epub ahead of print]
- [Gudmundsson J et al.](#)
Common variants on 9q22.33 and 14q13.3 predispose to thyroid cancer in European populations. Nat Genet. 2009 Feb 6. [Epub ahead of print]
- [Stefansson H et al.](#)
Variant in the sequence of the LINGO1 gene confers risk of essential tremor. Nat Genet. 2009 Feb 1. [Epub ahead of print]
- [Rafnar T et al.](#)
Sequence variants at the TERT-CLPTM1L locus associate with many cancer types. Nat Genet. 2009 Feb;41(2):221-7. Epub 2009 Jan 18.
- [Helgason A et al.](#)
Sequences from first settlers reveal rapid evolution in Icelandic mtDNA pool. PLoS Genet. 2009 Jan;5(1):e1000343. Epub 2009 Jan 16.
- [Styrkarsdottir U et al.](#)
New sequence variants associated with bone mineral density. Nat Genet. 2009 Jan;41(1):15-7. Epub 2008 Dec 14.

Icelandic Heart Association - Hjartavernd

- Founded 1964 to battle cardiovascular illness in Iceland.
- The purpose of IHA
 - conduct research into the causes of heart disease
 - educate the public about prevention of cardiovascular diseases and provide individual risk evaluations.
- Over the last 40 years, IHA has conducted large-scale studies of over 30,000 men and women born in Iceland between 1907 and 1935.
 - Focused on the multiple causes of disability in old age including heart disease, high blood pressure, and Alzheimer's.
- 143 scientific publications since 2006!
- AGES Reykjavik Study The Reykjavik Study of Healthy Aging for the New Millennium
 - Objective: The sequencing of the human genome and identification of candidate genes.
 - Phenotype the surviving 12,000 members of the Reykjavik Study cohort .
 - Recruitment to the study was finalized in January 2006. There were 5764 participants to the AGES-Reykjavik Study, almost 300 by home visits. The response rate was 72%
- The Monica Iceland Study
- Refine
 - The Icelandic Heart Association risk factor study, Risk Evaluation For INfarct Estimates (REFINE Reykjavik Study) was initiated in December 2005.
 - Objective: Increase the predictability of risk factors for the development of coronary artery disease on an individual basis.
 - 70% recruitment rate, resulting in the very thorough examination of 1,213 individuals , including genetic and personality testing.

Oversight of genetic testing

- USA
 - Several agencies are involved in oversight of genetic testing.
 - The Centers for Medicare and Medicaid Services (CMS) regulates clinical laboratory testing to ensure accuracy and reliability in conducting assays.
 - The Federal Trade Commission (FTC) oversees advertising of tests and products.
 - The Food and Drug Administration (FDA) regulates tests sold as "diagnostic devices," that is, tests manufactured by one company and then sold as a kit to a laboratory for genetic testing.
 - FDA does not regulate "home brew" tests, that is, tests that are both manufactured and performed by the same laboratory.
- Genetic Testing Registry
 - On February 29, 2012, the National Institutes of Health (NIH) **announced** the launch of the Genetic Testing Registry (GTR), a centralized online resource for information about genetic tests. The intended audience for the GTR is health care providers and researchers.
 - GTR: <http://www.ncbi.nlm.nih.gov/gtr>

Ethical dilemmas in human genetics

Specific ethical issues

- Genetic aspects
 - The individual level
 - The collective level
 - Connectness of families
 - Connectness of inter-related groups
 - Ethnicity
 - Nations
 - Tribes
 - Races
 - Ethical concerns due to harmful potential
- Genetic testing
 - Outcome of inherited trait unknown
 - No treatment or intervention available
 - BRCA
 - The estimated lifetime risk for breast cancer associated with the BRCA1 and BRCA2 mutations is in the range of 26% to 85%
 - Risk of ovarian and prostate cancer is also increased
 - Thus, genetic testing predicts increased risk, rather than certainty of disease
 - Making decisions about interventions, such as prophylactic mastectomy or oophorectomy, more difficult for individuals at risk

GWAS and Consent

- ✓ **Scope of Written Consent – NIH guidelines.**
 - ✓ **Is the informed consent consistent with the anticipated research activities**
 - ✓ **Does the consent form either allow or preclude:**
 - ✓ *genetic research or analysis?*
 - ✓ *future use and broad sharing of the participant's coded phenotype and genotype data for research?*
 - ✓ **Does the consent form have any restrictions, such as:**
 - ✓ *types of subsequent research using the participant's phenotype and genotype data?*
 - ✓ *location of such research?*
 - ✓ *types of medical conditions or diseases studied?*
 - ✓ *duration of storage and use of phenotype and genotype data?*
 - ✓ *limitations on who can use the participant's phenotype and genotype data (e.g. some consents may state that only non-commercial researchers can use the data)?*

GWAS and Consent II

- **Other Issues to Consider**

- Does the study **involve children**? If so, has the IRB considered the appropriateness of the continued maintenance and sharing of the data when the child reaches the legal age of consent?
- Does the study involve **proxy consent**? If so, are there any special ethical issues that should be considered?
- Does the study involve **vulnerable populations**, and if so, have any special ethical concerns related to the study population been addressed?
- Have any **special cultural considerations** or requirements been addressed with regard to the study population (e.g., the need for tribal consent from Native American populations)?
- Are any issues of **group harm** relevant and have they been considered?

Critical concerns regarding genetic testing

- Lack of regulation
- Patenting of genetic testing
 - How does it affect scientific research
- Analytical validation (accuracy)
- Clinical validation (use of test as a diagnostic tool)
- Clinical utilization (treatment)
- Genetic Counseling
- Genetic discrimination